

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----X		
In re	:	Chapter 11 Case No.
	:	
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> ,	:	08-13555 (JMP)
	:	(Jointly Administered)
Debtors.	:	
-----X		Ref. Docket Nos. 39226

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On August 8, 2013, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
19<sup>th</sup> day of August, 2013

/s/ Cassandra Murray

Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: EMPYREAN INVESTMENTS, LLC  
TRANSFEROR: MORGAN STANLEY & CO. INTERNATIONAL PLC  
C/O STERLING HATHAWAY  
EMPYREAN CAPITAL PARTNERS, LP  
10250 CONSTELLATION BLVD., STE 2950  
LOS ANGELES CA 90067

Additional:

Transferee: CITIGROUP FINANCIAL PRODUCTS INC.  
ATTN: BRIAN BROYLES  
1615 BRETT ROAD, BLDG 3  
NEW CASTLE DE 19720

Your transfer of claim # 11308-17 is defective for the reason(s) checked below:

Other ☐ Transfer Amount Exceeds Amount Currently Owned

Docket Number 39226

Date 08/06/13

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on August 8, 2013.

**EXHIBIT B**

TIME: 10:50:38  
DATE: 08/08/13

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name

CITIGROUP FINANCIAL PRODUCTS INC.  
EMPYREAN INVESTMENTS, LLC

Address

ATTN: BRIAN BROYLES 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720  
TRANSFEROR: MORGAN STANLEY & CO. INTERNATIONAL PLC C/O STERLING HATHAWAY EMPYREAN CAPITAL PARTNERS, LP  
10250 CONSTELLATION BLVD., STE 2950 LOS ANGELES CA 90067

Total Number of Records Printed

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